



CGS CUSIP Master File

CGS Offerings:

Database Services

Identifier Solution Services

Portfolio Services

Segment Services

Web Services

The Service

CGS CUSIP Master File provides 9-character CUSIP identifiers, standardized descriptions and additional data attributes for over 10 million securities offered in the U.S. and Canada.

Instrument coverage includes corporate, municipal, sovereign, and U.S. government debt securities as well as equity securities.

Data delivery may include:

- CUSIP Master File in its entirety
- Subsets available:
 - All Debt
 - All Corporates
 - Municipals
 - All Equity
 - Corporate Bonds
 - Equity—Common and Preferred
 - CDs
 - Mutual Funds

Benefits

- Access to the primary source for all CUSIP numbers
- Gain efficiencies in:
 - Securities master file maintenance
 - Income processing
 - Validation of new purchases
 - Automation of front- and back-office operations

Features

- Covers 350,000 issuers and 9 million issues
- Over 50 data elements collected
- Database subsets available: municipals, corporates, equities and all debt
- Provides 40 years of U.S. and Canadian corporate capital change information, such as:
 - Name changes
 - Reorganizations
 - Acquisitions
 - Reverse stocks splits
 - Mergers
 - Entire redemptions

Format

- Pipe-delimited or XML files

Standard Delivery

- Available via FTP or API
- Initial master file and subsequent delta files
- Frequencies available: daily, weekly and intraday (3x per day)

API Delivery

- All data available via a web-services delivery platform
- Real time access to database
- Search for newly assigned identifiers or search for an issuer's securities using 15 predefined parameter's (issuer name, security description, dated date, coupon, maturity date, update date, etc.)
- Data retrieved in XML format
- Access to database without hosting in house
- Access to additional data elements not found in CUSIP Master File

CUSIP Global Services

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CGS CUSIP Master File Data Elements

Debt – General

- Activity Date
- Auditor
- Callable Indicator
- CFI Code (ISO and U.S.)
- Closing Date
- Coupon Rate
- Currency
- Dated Date
- Domicile
- Depository Eligible Indicator
- Enhancements
- Financial Advisor
- First Coupon Date
- Form
- Issue Status
- Interest Payment Frequency
- Issuer and Issue Description
- Legal Advisor
- Maturity Date
- Paying Agent
- Put Indicator
- Redemption Features
- Sinking Fund Indicator
- Tender Agent
- Rate Type
- Secondly Insured
- Source Document
- State Code
- Transfer Agent
- Underwriter

Debt – Municipal

- Alternative Minimum Tax Indicator
- Competitive/Negotiated
- Refundable Indicator
- Sale Date
- Offering Amount
- Bank Qualified Indicator
- Pre-refunded Indicator
- Remarketed Indicator
- Taxable Indicator

Equity Issues

- ADR Program Type
- Auditor
- Currency
- Domicile
- Financial Advisor
- Initial Public Offering Indicator
- Ownership Restrictions
- Payment Status
- Ticker Symbol
- Underwriter
- Type of Preferred Dividend Income
- Warrant:
 - Type
 - Underlying Assets
 - Call/Put Indicator
- Activity Date
- CFI Codes (ISO and U.S.)
- Depository Eligible Indicator
- Exchange Traded
- Form
- Issue Status
- Legal Advisor
- Source Document
- State Code
- Transfer Agent
- Voting Rights
- Fund:
 - Open/Closed End
 - Investment Policy
 - Income Distrib. Policy
 - Load/No Load

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About CUSIP®

The financial services industry relies on our unrivaled experience in uniquely identifying instruments and entities to support efficient global capital markets. Our extensive focus on standardization over the past 45 years has helped us earn the reputation for being the trusted originator of quality identifiers and descriptive data that are interoperable among regulators, banks, vendors, exchanges and depositories. This ensures that essential front-

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