



CGS LEI Plus

Legal Entity Records

CGS Offerings:

Database Services

Identifier Solution Services

Portfolio Services

Segment Services

Web Services

The Service

Global financial firms and regulators have embraced the concept of creating a standard, unique identifier for entities involved in financial transactions, including issuers, obligors and counterparties: the Legal Entity Identifier (LEI).

In an early response to this global need, CUSIP Global Services (CGS) and Avox Limited created an alliance to generate a universal identification system for global business entities, called CABRE (CUSIP Avox Business Reference Entity identifier).

For nearly all market participants, the process of implementing the LEI involves mapping it to existing legal entity codes already in use. With CGS LEI Plus, that mapping exercise between the CABRE, the CUSIP Issuer or Obligor Number and the official LEI has been done for you.

CGS LEI Plus provides entity-level identifiers to facilitate electronic communication among counterparties. Beneficiaries of this service include risk management, client on-boarding, anti-money laundering, operations, financial control and sales/trading.

Benefits

- Unique counterparty identifier for hundreds of thousands of entities – coverage will grow overtime through relationships with the issuer community and AVOX
- Adhere to growing regulations to identify counterparties and investments with the LEI
- Populate CRM systems with unique and standardized company identification
- Improve communications between firms by using a common language
- Manage transactions more efficiently
- Reduce risk resulting from incorrectly identified entities
- Facilitate more reliable linking of business entities within company hierarchies

Features

- 20-character official LEI GMEI with operating unit prefix
- 10-character CABRE identifier with ISO domicile prefix
- CUSIP Issuer number linked to the CABRE and LEI where available for an issuing entity
- Entity records are re-verified every 6 months based on expert analysis
- Full “business card” record including Role Type, Legal Form and Doing Business As name
- Supplemental file containing Registered, Operational and Headquarter addresses

Delivery

- Initial master file
- Daily delta files

CGS LEI Plus: *Sample Data*

CABRE	AVID	GMEI	CUSIP Issuer	Legal Entity Name
US000752N6	25680798	5XUGFPS8BZKIJ75BJ047	00752N	Advanced Cellular, Inc.
US006406V6	25436224	II4WMZ6NN4YK3N76ME83	06406V	Bank of Newington
US038762P6	25458484	68BRB7OSPCH7M6ZSRW97	38762P	Grant County Bank
US041724P1	2588599	549300TCUHIF1PHUHT25	41724P	Hartsville Oil Mill
US048248N9	25505222	QH3UU3TX8JM32JRAF23	48248N	KKR Group Finance Co. LLC
US05412004	25505311	HR21KNI1QS31PUNZXZ10	541200	Logans Roadhouse, Inc.
US07185467	26137486	MD8NZLZIA0RMR3BKGP55	718546	Phillips 66

About CUSIP®

The financial services industry relies on our unrivaled experience in uniquely identifying instruments and entities to support efficient global capital markets. Our extensive focus on standardization over the past 45 years has helped us earn the reputation for being the trusted originator of quality identifiers and descriptive data that are interoperable among regulators, banks, vendors, exchanges and depositories. This ensures that essential front- and back-office functions continue to run smoothly.

CGS is managed on behalf of the American Bankers Association (ABA) by S&P Global Market Intelligence, with a Board of Trustees that represents the voices of leading financial institutions.

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