



CGS CUSIP Master File

CGS Offerings:

Database Services

Identifier Solution Services

Portfolio Services

Segment Services

Web Services

The Service

The CGS CUSIP Master File provides nine-character CUSIP identifiers, standardized descriptions and additional data attributes for more than 10 million securities offered in the U.S. and Canada.

Instrument coverage includes corporate, municipal, sovereign, and U.S. government debt securities as well as equity securities.

Data delivery may include:

- CUSIP Master File in its entirety
- Subsets available:
 - All Debt
 - All Corporates
 - Municipals
 - All Equity
 - Corporate Bonds
 - Equity: Common and Preferred
 - CDs
 - Mutual Funds

Benefits

- Access to the primary source for all CUSIP numbers
- Gain efficiencies in:
 - Securities master file maintenance
 - Income processing
 - Validation of new purchases
 - Automation of front- and back-office operations

Features

- Covers more than 400,000 issuers and 10 million+ issues
- More than 70 data elements collected
- Database subsets available: municipals, corporates, equities and all debt
- More than 50 years of U.S. and Canadian corporate capital change information, such as:
 - Name changes
 - Reorganizations
 - Acquisitions
 - Reverse stocks splits
 - Mergers
 - Entire redemptions

Standard Delivery

- Available via FTP or API; also delivered via CUSIP PULSE
- Initial master file and subsequent delta files
- Files made available daily

API Delivery

- All data available via a web-services delivery platform
- Real-time access to database
- Search for newly assigned identifiers or search for an issuer's securities using 15 predefined parameter's (issuer name, security description, dated date, coupon, maturity date, update date, etc.)
- Access to database without hosting in house
- Access to additional data elements not found in CUSIP Master File

Additional Availability

- The CUSIP Master file is also available with linkage to domestic and international ISINs, which provides mapping of the globally recognized ISIN to the corresponding CUSIP

CUSIP Master File Data Elements

Debt – General

- Activity Date
- Auditor
- Callable Indicator
- CFI Code (ISO and U.S.)
- Closing Date
- Coupon Rate
- Currency
- Dated Date
- Domicile
- Depository Eligible Indicator
- Enhancements
- Financial Advisor
- First Coupon Date
- Form
- Government Stimulus Program
- Issue Status
- Interest Payment Frequency
- Issuer and Issue Description
- Legal Advisor
- Maturity Date
- Obligor/Co-Obligor
- Paying Agent
- Put Indicator
- Redemption Features
- Sinking Fund Indicator
- Tender Agent
- Rate Type
- Secondly Insured
- Source Document
- State Code
- Transfer Agent
- Underwriter

Debt – Municipal

- Alternative Minimum Tax Indicator
- Bank Qualified Indicator
- Competitive/Negotiated
- Pre-refunded Indicator
- Offering Amount
- Refundable Indicator
- Remarketed Indicator
- Sale Date
- Taxable Indicator

Equity Issues

- Activity Date
- ADR Program Type
- Auditor
- CFI Codes (ISO and U.S.)
- Currency
- Depository Eligible Indicator
- Domicile
- Exchange Traded (Multiple Tickers/Exchanges)
- Financial Advisor
- Form
- Fund:
 - Open/Closed End
 - Investment Policy
 - Income Distrib. Policy
 - Load/No Load
- Initial Public Offering Indicator
- Issue Status
- Ownership Restrictions
- Payment Status
- Ticker Symbol (Multiple Tickers/Exchanges)
- Type of Preferred Dividend Income
- Underwriter
- Warrant:
 - Type
 - Underlying Assets
 - Call/Put Indicator

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About CUSIP®

The financial services industry relies on our unrivaled experience in uniquely identifying instruments and entities to support efficient global capital markets. Our extensive focus on standardization over the past 50+ years has helped us earn the reputation for being the trusted originator of quality identifiers and descriptive data that are interoperable among regulators, banks, vendors, exchanges and depositories. This ensures that essential front-

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