CGS Regional Recap: APAC – Q1 2020

This is our first installment of CUSIP Global Services' Regional Recap for the Asia-Pacific (APAC) region. These quarterly recaps are written by Eng Ban Ho, CGS's Director for the APAC region, who will share updates on the CUSIP APAC business unit, local markets, and upcoming or ongoing CGS initiatives. <u>Sign</u> <u>up here</u> to receive these recaps as soon as they're published.

COVID-19 Update: Displaced, not Disrupted

It's no secret that Asia-Pacific was the first region to be hit hard by COVID-19. Since February, all CUSIP employees in APAC offices have been working remotely, and many meetings and conferences had to be postponed or cancelled. But just because we're displaced does not mean our business is disrupted. CUSIP APAC has been able to ride out this storm with the help of our technology team, as they have helped keep our business operations functional and enable us to maintain our client interactions.

We know these are uncertain times, which is why the APAC team is coordinated in performing outreach to our clients to show our support and availability. Additionally, we continue to operate in harmony with the broader CGS team, especially the Operations folks who ensure that all requests for identifiers can be received and processed as normal. <u>Please contact us</u> if you have any questions or if there's any we can help with your securities operations and/or identifier requests.

The New CUSIP.com

Incorporating key feedback from clients and stakeholders, we have launched the redesigned <u>CUSIP.com</u>! The new design features easier navigation to the items you need, more dynamic web content, and brand new functionalities. Such new features include:

- <u>Comprehensive site search</u>
- Dynamic home page leading to all key facets of the site
- <u>Timeline with key CGS milestones</u>
- Augmented content and information on licensing

In addition to these new features, we've redesigned the functions you rely on for your workflows and market knowledge, such as <u>learning modules</u>, <u>educational tools</u>, and <u>Issuance Trends reports</u>. The product logins and identifier application forms are still on the site and accessible via the top navigation. As you explore the redesigned site, please feel free to send any questions or feedback to us <u>via email</u>!

Working with National Numbering Agencies

CGS APAC has continued to establish relationships with fellow National Numbering Agencies in the region, adding to an already robust list. The Singapore Exchange (SGX) is one of those key partnerships and we teamed up with them to help migrate the CGS ISIN (BH) from the Bahamas to the Singapore ISIN (SG). As a result of the migration, the issuer was happy that they could distribute the new Singapore-based ISIN to its investors for their portfolios and tracking. In turn, the vendors that distribute the funds were able to quickly use this new ISIN for their investors to trade and track their portfolio.

Japan Update: Our First-Ever Japanese Webinar & Augmented Coverage

For the first time ever, CUSIP Global Services will host a complimentary webinar in a regional language. (<u>The Advanced A to Z of CUSIP</u>' will take place on April 22nd and will presented entirely in Japanese. During this webinar, Sunnie Han will highlight the history of the CUSIP numbering system and its integration to the capital markets, the Legal Entity Identifier's (LEI) use in Asia-Pacific, and CGS' extensive coverage of global asset classes. You can register for the webinar <u>here</u>.

In addition to hosting our first-ever Japanese webinar, Sunnie is our first hire to liaise exclusively with clients and market participants in Japan and Korea. Sunnie's role now ensures the entire APAC region has direct client-facing coverage with CGS.

Local Identifier Coverage

My final topic pertains to CUSIP's identifier coverage for regional financial instruments. When looking at country of origin, the CGS database track more than 2.7 million identifiers for APAC securities. The breadth and depth of our coverage ensures that clients and market participants can find the data they need, no matter their industry or job function. CGS data comes right from the sources, so you know you can rely on it for accuracy and efficiency when performing key securities analysis.

Domicile	Active CINS	Active ISINs	Active CFI Codes	LEIs
Australia	124,583	109,465	109,251	17,242
China	59,991	564,083	564,042	8,268
Hong Kong SAR	<mark>27,</mark> 553	7,386	7,386	<mark>4,630</mark>
India	101,929	97,395	97,328	30,373
Indonesia	6,330	8,833	8,815	748
Japan	37,267	20,606	20,596	10,461
Korea, Republic of	84,649	163,726	153,403	1,253
Malaysia	18,370	1,977	1,977	1,047
New Zealand	1,155	386	370	1,404
Philippines	1,600	1,294	799	347
Singapore	32,391	15,871	14,529	5,579
Taiwan	36,849	36,339	36,339	1,147
Thailand	13,912	12,520	12,156	948
Vietnam	2,450	2,300	2,300	158

I look forward to providing these updates to you on a quarterly basis. If you have questions about anything addressed here, CUSIP products or offerings, or if you'd like to share any observations on the market, please <u>send me an email</u>.

Cheers,

Eng Ban Ho Director, Asia-Pacific CUSIP Global Services

