CGS Private Placement Numbers

For Insurance Company Schedule D Annual Filings

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The Service
In October 1988, the National Association of Insurance Commissioners (NAIC), mandated the use of a uniform private placement number (PPN) by insurers to identify investments in the annual statements filed with State Regulatory Authorities.

CUSIP Global Services (CGS) was selected by NAIC to create, assign, and administer the PPN system (a CUSIP-like numbering system).

SAR Filing with the Security Valuation Office (SVO)
Security Acquisitions Reports (SARs) must be filed with the Securities Valuation Office (SVO) with the appropriate documentation and must have a valid PPN or CUSIP number. The filings made with CUSIP Global Services do not replace the SAR filings.

Special Characters
*, @, # are utilized in position 6, 7 or 8 of the CUSIP number to identify PPNs. These characters have numeric values of 36, 37 and 38 respectively when computing check digits.

Delivery

- Transmission Service - Pipe-delimited or XML (Extensible Markup Language) format.
- Internet Lookup - PPN information is available through CUSIP Access.

Subscription services provide data access directly from CGS. Data is also available through authorized redistributors and may be subject to a separate CGS license.

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